



**Edward J.M. Little**

Partner

New York

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**Areas of Concentration**

- *Chair, White Collar Practice Group; Co-Chair, Securities Litigation Practice Group*
- Criminal & SEC Representation
- Securities Fraud Litigation
- Complex Civil Litigation
- NASD & NYSE Arbitration

**Court Admissions**

- U.S. Court of Appeals, Second Circuit
- U.S. District Court, Southern District of New York
- U.S. District Court, Eastern District of New York

**Highlighted Matters**

- Defended, as lead lawyer, an executive of an electrical utility company prosecuted for “excessive compensation” in a complex wire fraud case (the “Westar case”) that was tried twice, with a hung jury in the first trial and a conviction in the second trial that was completely reversed on appeal with most charges dismissed as a matter of law.
- Representation of the general counsel and others in the criminal investigation of a foreign pharmaceutical company for alleged off-label drug promotion, bribery of prescribing doctors, etc.
- Internal investigation of major French corporation relating to whistleblower allegations of false financial reporting; representation of company in resulting FBI and SEC investigations.

**Practice Areas**

Antitrust  
Arbitration & Alternative Dispute Resolution  
Banking & Financial Services  
International  
Litigation  
Professional Liability  
Securities Litigation  
White Collar Crime & Corporate Compliance  
Anti-Corruption and Internal Investigations

**Education Information**

Boston College, A.B., 1970, *magna cum laude*, Honors Program  
Boston College Law School, J.D., 1974, Presidential Scholar; Executive Editor, Law Review

**Bar Admissions**

New York, 1974

- Representation of senior bank officials in investigation conducted by SEC and Federal Reserve relating to false valuations of thinly traded securities held by hedge fund client; subsequent representation of bank in civil litigations in Luxembourg and New York brought by fund shareholders.
- Representation of global financial institution and various individual witnesses in connection with joint Department of Justice and SEC investigation of Enron scandal.
- Representation of metals trading business in post-Enron collapse litigation with Phelps Dodge concerning competing ownership claims to copper shipments to Enron.
- Representation of numerous executives and employees of Royal Ahold subsidiaries arising out of investigation of U.S. Foodservice by United States Attorney's Office and SEC.
- Representation of senior executive of a telecommunications company in criminal and SEC investigations of Lucent relating to attempted fraud in reporting financial results.
- Representation of traders for major hedge fund in connection with investigation by Antitrust Division of the Department of Justice and SEC of alleged "squeeze" of the market in government securities.
- Representation of prominent analyst and auditors in connection with Manhattan District Attorney's investigation of Tyco.
- Representation of auditor in investigation by United States Attorney's Office of Adelphia collapse.
- Internal investigation of leading book distribution and marketing company following whistleblower allegations of allegedly fraudulent failures to account for promotional funds; representation of company in subsequent criminal investigation.
- Representation of the offshore affiliate of one of the major accounting firms in criminal investigation of allegedly fraudulent activities of a hedge fund in valuing its portfolio.
- Pro bono representation of senior White House official in grand jury proceedings relating to the public identification of Ambassador Wilson's wife as a CIA operative.
- Representation of prominent Wall Street economist and hedge fund advisor in criminal and civil RICO proceedings alleging fraudulent management of the fund.
- Representation of the principals of the Ranch One fast food franchise in criminal prosecution for improper payments to brokers in connection with private placements.
- Defense of foreign bank and its employees in connection with grand jury investigation and Federal Reserve inquiry into failure

to report losses in real estate derivatives trading.

- Defended, as lead lawyer, principal of precious metals company tried for major insurance fraud on Lloyds of London relating to theft of gold inventory.
- Representation of consultant in the “Martin Frankel” case involving massive fraud on insurance companies.
- Representation of bank executive in criminal investigation of Bankers Trust relating to fraudulent financial reporting relating to escrow funds.
- Representation of bank executive in connection with NASD investigation into facilitation trades for South American clients.
- Representation of large regional securities broker dealer in civil fraud case alleging unlawful appropriation of competitor’s network of brokers.
- Representation of international financial institution in \$150 million RICO fraud case relating to the alleged failure to detect embezzlement and money laundering by principals of a Russian metals company.
- Internal investigation on behalf of an international paper brokerage business into massive embezzlement of funds; representation of company in international litigation brought by major supplier for losses.
- Representation of global bank in multiple cases aimed at recovering funds previously frozen as a result of U.S. Government sanctions against Yugoslavia.
- Representation of securities broker dealer firm in arbitration over fees earned in joint venture in co-managing public securities offerings.
- Representation of securities firms as well as individuals in various compensation disputes before the NASD and NYSE.
- Representation of individuals in antitrust investigation of alleged price-fixing in liquor industry in New York.
- Involvement in potential investigation of allegations of price-fixing and allocation of territories in the retail liquor business on Long Island.

**Select Previous Experience**

*Partner, Zuckerman Spaeder, LLP (1993-2002)*

- Senior partner who established the New York office of a mid-size law firm specializing in complex litigation and white collar representation with a principal office in Washington and other offices in Baltimore, Miami and Tampa.

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*Assistant United States Attorney, Southern District of New York, holding positions of Deputy Chief of the Criminal Division, Senior Litigation Counsel and Chief of the General Crimes Unit (1982-1990)*

- Federal prosecutor with extensive experience in jury and bench trials and appellate arguments. Served as lead prosecutor in the “Wedtech case,” involving bribery, extortion, mail fraud and tax charges against former Congressman Mario Biaggi, Bronx Borough President Stanley Simon and others, and a related case against former Congressman Robert Garcia. Prosecuted the “Henry Liu case” against numerous defendants in a conspiracy directed by the Taiwanese intelligence agency to assassinate the author of a book critical of Chiang Kai-Shek. Also directed numerous white collar fraud and organized crime investigations and prosecutions.