



Gary J. Simon

Partner

New York

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Areas of Concentration

- Co-Chair, Capital Markets Practice Group
- General public company representation
- Underwriter and placement agent representation
- Institutional investor and fund transactional representation
- SEC reporting and compliance and listing matters
- Proxy and other shareholder matters, including contests
- Corporate governance
- Mergers and acquisitions

Professional Activities

- Faculty Member, "Preparation of Annual Disclosure Documents 2005" Seminar, Practising Law Institute
- Faculty Member and Chair of "LLCs and LLPs" and "Going Private" Seminars, Association of the Bar of the City of New York

Highlighted Publications

- Author, "New Requirements for Audit Committees," *The Review of Securities & Commodities Regulation* (September, 29, 2004)
- Author, "Audit Committees: New Requirements", Practising Law Institute (January 2005)
- Author, "Introduction to Securities Law", The Association of the Bar of the City of New York (May 2004)
- Author, "Membership Interests in Limited Liability Companies: Are They Securities", The Association of the Bar of the City of

Practice Areas

Securities & Capital Markets
Corporate
Corporate Governance
Private Equity

Education Information

Swarthmore College, B.A., 1979, *with high honors*
Vanderbilt University Law School, J.D., 1983

Bar Admissions

New York, 1984

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New York (April 2004)

- Co-Author, "Forming and Advising Businesses", The Association of the Bar of the City of New York (December 2002)